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PTO/SB/05 (4/98)  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	EFIM0215
	First Inventor or Application Identifier	Motamed
	Title	Method and System for Merging Scan Files into a Color
	Express Mail Label No.	EL540886180US

PT  
09/14/00  
1488

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
-------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 25]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]
4. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

<b>ACCOMPANYING APPLICATION PARTS</b>	
7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))
8. <input checked="" type="checkbox"/>	37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney
9. <input type="checkbox"/>	English Translation Document (if applicable)
10. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
11. <input type="checkbox"/>	Preliminary Amendment
12. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. <input type="checkbox"/>	* Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. <input type="checkbox"/>	Other: .....

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No: _____
Prior application information.		Examiner _____	Group / Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22862	or <input type="checkbox"/> Correspondence address below	
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Name (Print/Type)	Michael A. Glenn	Registration No. (Attorney/Agent)	30,176
Signature		Date	9/14/2000

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# FEE TRANSMITTAL

## for FY 1999

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** (\$) 1,306.00

### Complete if Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Motamed
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	EFIM0215

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 05-0770

Deposit Account Name Electronics for Imaging, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	380	Utility filing fee	690.00
106	310	206	Design filing fee	
107	480	207	Plant filing fee	
108	760	208	Reissue filing fee	
114	150	214	Provisional filing fee	

**SUBTOTAL (1)** (\$) 690.00

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
52	-20** = 32	X 18 =	576.00
3	-3** = 0	X 78 =	0.00
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

#### Large Entity Small Entity

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	78	202	39 Independent claims in excess of 3
104	260	204	130 Multiple dependent claim, if not paid
109	78	209	39 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 576.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	380	216	190 Extension for reply within second month	
117	870	217	435 Extension for reply within third month	
118	1,360	218	680 Extension for reply within fourth month	
128	1,850	228	925 Extension for reply within fifth month	
119	300	219	150 Notice of Appeal	
120	300	220	150 Filing a brief in support of an appeal	
121	260	221	130 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,210	241	605 Petition to revive - unintentional	
142	1,210	242	605 Utility issue fee (or reissue)	
143	430	243	215 Design issue fee	
144	580	244	290 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	240	126	240 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	760	249	380 For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____				
Other fee (specify) _____				

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 40.00

### SUBMITTED BY

Name (Print/Type) Michael Glenn

Signature [Signature]

Registration No. 30,176  
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### Complete (if applicable)

Telephone 650-474-8400

Date 9/14/2000

## **METHOD AND SYSTEM FOR MERGING SCAN FILES INTO A COLOR WORKFLOW**

5

### **BACKGROUND OF THE INVENTION**

#### **FIELD OF THE INVENTION**

10 The invention relates to document scanning in a network environment. More particularly, the invention relates to a simplified method and system for merging scan files into a color workflow wherein the scan files are merged with document files on a page basis and job properties applied to the resulting merged document.

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#### **DESCRIPTION OF PRIOR ART**

20 In print shop environments, it is often necessary to combine electronic images created by scanning hard copy documents with an existing document file to produce a new document consisting of the images interspersed with the pages of the previous document in a desired order. For example, in the production of an illustrated calendar, photographs may be scanned to produce the calendar illustrations. The scans are then interleaved with the calendar pages, which may have been produced in a page layout program or a word processor. Subsequently, additional layout may be specified such as imposition or single-sided vs. duplex printing. Additional job options are specified, such as resolution, color profile and print profile and the document is output to a color printer. Thus, the production of such a document is a highly repetitious and time-consuming operation, and it requires a complex, multi-step workflow. Since print shops are production-type environments, there is an ongoing  
30 interest in increasing efficiency without sacrificing quality.

Various hardware components for accelerating the printing process are reported in the art. For example, T. Willems, and F. Tunissen, *Raster Image Processor*, U.S. Patent No, 4,891,768 (January 2, 1990) and T. Willems, and F. Tunissen, *Front-end System*, European Patent Application No. 0218287 (September 27, 1985) both disclose hardware configurations that utilize a raster image bus to accelerate the processing of information so that a higher throughput is provided to the print device. J. Menendez, W. Caterisano, and J. Ball, *High Speed Image Processor Particularly Suited for Use in an Image Management System*, U.S. Patent No. 5,113,494 (May 12, 1992) also describe an improved raster image processor capable of providing output to a printing device at higher speeds than previously possible. The improvement is achieved by performing various image processing operations in parallel that had previously been performed serially. The disclosed devices do enable higher throughput of data to a printing device, but they don't address the problem of simplifying and accelerating complicated printing workflows, or of automating repetitive operations.

W. Neale, *A new generation of COM recorder brings new applications and opportunities*, International Journal of Micrographics and Optical Technology, v.14:5 (1996) discloses methods for transferring scanned bitmap images and merged documents to microfilm. The disclosed methods do not address the need in the art for accelerating the workflow by providing simple intuitive methods of merging document files and specifying job options.

Consequently, there is a need in the art for merging document files of various formats into a single workflow. It would be a further advantage to provide a means of merging scan files with document files on a page basis so that a new, merged document results. It would be desirable to provide a graphical user interface that allowed a user to produce such merged documents in a simple, intuitive manner. It would be advantageous to provide a simple way of

specifying merging instructions and other job options in the form of merge templates that can be created, saved and reused for future jobs.

It would be highly advantageous to implement such methods in a network scanning environment that allowed a user to specify multiple destinations and formats for a scan file. The capability of applying image modifications to scan files prior to merging would be highly desirable. Finally, a software application that allowed a scanner and a print device together to function as a copying machine would be desirable.

### **SUMMARY OF THE INVENTION**

The invention provides a method and system for document scanning in a network environment. A graphical user interface allows scan files to be merged with other document files into a printing workflow. The user creates and applies a set of merging instructions by selecting desired pages from the files to be merged and dragging and dropping thumbnail images of the selected pages from a source document to a destination document so that a new, merged document is created. In another embodiment of the invention, merge templates having predefined merging instructions are selected and applied to the desired pages. The templates may be created by saving previously generated merging instructions. In other embodiments of the invention, scripted merge templates are created manually, or by means of a workflow application, and then applied to a set of selected pages.

The system architecture includes a color print server with an attached scanning device and a client workstation in communication with the color print server. In one embodiment of the invention, scanning software is run locally on the color print server, and may be accessed through a GUI. In other embodiments of the invention, the interface may be an LCD interface on the color print server. In still

other embodiments of the invention, the color print server is embedded in a color printing device and may be accessed through the control panel of the printing device. In an alternate embodiment of the invention, the scanning software is run remotely from the client workstation. Scans are initiated at the color print server and the resulting files are stored in a mailbox carrying a numerical designator on a mass storage device connected to the print server. After scanning, the scan file may be retrieved and modified. In a further embodiment of the invention, a scanning device is connected to a client workstation. A user interface is provided that permits the scanner and a print engine attached to the color print server to be used together as a copying machine.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 provides a block diagram of a method for merging scan files with document files on a page basis, according to the invention;

Figure 2 illustrates a graphical user interface of a job management utility for merging document files on a page basis, according to the invention;

Figure 3 provides a block diagram of a system for color scanning in a network environment, according to the invention;

Figure 4 provides a block diagram of an alternate architecture for the system of Figure 3, according to the invention;

Figure 5 provides a block diagram of a further alternate architecture for the system of Figure 3, according to the invention;

Figure 6 shows a user interface for initiating a network scan in a scanning software application, according to the invention;

5 Figure 8 shows a user interface for retrieving scan files in the scanning software application of Figure 6, according to the invention;

10

Figure 11 shows a user interface for using a scanner and a color printer as a  
15 color copier in the scanning software application of Figure 6, according to the  
invention; and

20

25 The invention provides a method for merging document files on a page basis. Pages are selected from two or more document files and the selected pages are combined into a new, merged document. The resulting document file may subsequently be viewed, archived or printed.

30

embodiment of the invention, the scanning device is equipped with an automatic document feeder (ADF) to facilitate the scanning of multi-page documents. In other embodiments, the scanner may comprise the platen of a copying machine. To begin, the user places a document to be scanned in the scanning device 10, and initiates a scan 11 by executing a 'scan document' command from the GUI of a scanning software application, to be described in greater detail further below. In a preferred embodiment of the invention, the scan file is converted to a .PDF file. In other embodiments the scan file may comprise a raster data file, a bitmap file, or another page description format such as POSTSCRIPT (PS) or Page Construction Language (PCL). After the document is scanned the user directs the scan file to a job management utility. In the interface of the job management utility, thumbnail images of the separate pages of the scanned document are displayed 12. The user then retrieves another document file by selecting it from a displayed directory of document files. The pages of the second file are displayed as thumbnail images 13a. The second document may be another scan file or it may be an existing document file in any of the file formats previously mentioned. The user then selects pages from the two document files. In the preferred embodiment of the invention, page selection is accomplished by clicking the thumbnail image of the desired page with a mouse. However, other commonly known methods of selecting graphical objects may be substituted without departing from the spirit and scope of the invention. After the pages from each document are specified, the user then combines the pages according to a desired order by dragging and dropping the thumbnail images, until they are arranged in the desired order.

Figure 2 illustrates the process of selecting and combining pages. The user interface of a job management utility includes a multi-frame window 20. The upper frame contains Thumbnail 'B', representing a document having eight pages, although the user would have to scroll to the left to see the thumbnail of page one. As indicated by the arrow 22, page four (21a) from thumbnail 'B' is selected and dragged to the lower frame to become page four (21b) in



thumbnail 'A,' thus creating a new merged document 14. Subsequently, additional pages from Thumbnail 'B' may be added, and the pages may be reordered. Finally, the merged document may be previewed 15 or it may be routed directly to the print workflow without previewing. Additionally, the merged document may be archived as a new document file.

The user may elect to save the merging instructions generated during the creation of the merged document to a merge template. The resulting merge template may be selected and applied at a future time to other document files.

As an alternative to dragging and dropping page thumbnails, the user may select a predefined merge template from a menu of templates 13b. The predefined merge templates are generated in any of several ways. They can be generated as previously described, by saving merging instructions generated during the creation of a document. Additionally, the merging instructions may be scripted, either manually or through the use of a workflow software application. Several examples of scripted merging instructions are shown below:

Where A, B and C represent source documents:

- Example 1 – page order = A1 A2 A3 B1 B2 B10 A9 C20 C1 C2.
- Example 2 – page order = A1 B1 A2 B2 A3 B3 repeat pattern until end of one document.
- Example 3 – page order = C1 C2 A1 B1 A2 B2 ... A100 B100 C3 C4, etc.

Thus, a predefined page order may be applied to the selected pages in a single step, requiring a minimal amount of time and effort on the part of the user.

The merge template constitutes a valuable tool for accelerating and simplifying the printing workflow and its utility is not limited to specifying pagination in merged documents. The merge template may also incorporate instructions for imposition, the placement of multiple pages on a single sheet. For example, if the source pages were originally in an 8 1/2" x 11" format, the user may desire to print several pages on a single sheet, perhaps to publish the document in pamphlet or booklet form. The merge template may be used to include instructions for printing the document "four up," meaning four pages per sheet. The example immediately preceding is not meant to be limiting. Additionally, single-sided or duplex printing may be specified. The merge template may be used to specify any layout parameter or color setting that would be specified in the job ticket for a print job including:

- Source RGB
- Color profile
- Source type (image, text, graphics)
- Saturation
- True Color
- Smoothing or anti-aliasing
- Toner reduction, and
- Print modes.

Other applications of the merge template consistent with the spirit and scope of the invention will be apparent to those skilled in the arts of computer graphics and digital printing. Thus, based on past print jobs that have been successful, the user is able to specify multiple parameters and settings with a single selection simply by applying a predefined merge template incorporating all of the settings of the previous job that were successfully applied.

As described herein, the invention is embodied as a method and a system. Referring now to Figure 3, a block diagram of a system for implementing the invented method is shown. A color print server 30 is provided. Resident thereon is a scanning management software module 34 for managing scanning in a network environment. Connected to the color print server is a scanning device 31. In certain embodiments of the invention the scanner is a dedicated scanner equipped with an Automatic Document feeder (ADF). The scanning device 31 may also be the platen of a color copying machine. Also resident on the color print server is a scanning software application 35 having a user interface through which the user may perform various tasks related to document scanning, including:

- Source definition
- Set destination
- Save to network location
- Export to printstream
- Image modification during scanning; and
- Image modification post-scan.

In one embodiment of the invention, the interface constitutes a graphical user interface (GUI) on a display device connected directly to the color print server. In an alternate embodiment, the user interface constitutes an LCD interface mounted directly on the color print server. Figure 12 shows an exemplary LCD interface.

A client workstation 32 is in communication with the color print server 30. The job management utility 36 previously described resides on the client workstation.

Referring to Figure 6, shown is a dialog box 60 for initiating a scan on the color print server 30. A pull down menu 61 allows the user to specify the scan source. As previously mentioned the scanner may be either a dedicated scanner equipped with an ADF or it may be the platen of a color copier. In this case, the scan source is a color copier. Controls 62 and 63 are provided for specifying the source type, image or document. A pulldown menu 64 allows the user to specify the scan mode, the three options being:

- Color
- Black and white
- Photograph.

A pulldown menu 65 allows the user to specify scan resolution in pixels per inch (PPI). Checkboxes 66 and 67 allow the user to select or deselect 'Descreen' and 'Auto-deskew.' A pulldown menu 68 allows the user to specify the number of sides that must be scanned, with the options being 'Single' and 'Both.' However, double-sided scanning is only supported in scanners having an ADF. In the example of Figure 6, scanning is performed from a color copier's image glass (platen) so the 'Sides' pulldown 68 is grayed-out. In Figure 7, a scanner equipped with an ADF is selected, and therefore the 'Sides' pulldown 68 is enabled. Additionally, page orientation 69 may be specified, the options being 'Vertical (portrait)' and 'Horizontal (landscape).' Finally, the user initiates the scan by clicking the 'Scan' button 70. As the scan is being performed, the video ASIC of the scan engine may apply a compression format to the scan data, or the scan may be saved directly to the drive of the color print server in a native file format. In the case of a color image, the scan may be converted to the .JPEG format, and in the case of a black & white image, the scan may be converted to the .JBIG format.

When the scan is complete, the scan file is temporarily saved to a mailbox 87 carrying a numerical designator on the drive of the color print server. After a scan is saved to its temporary location, the user may further specify a final destination for the scan file. Figure 8 shows a dialog 80 for retrieving scan files.

5 When the scan is saved to the mailbox 87, the scanning application assigns the scan file a default file name 81 and affixes a time and date stamp 82. In addition, the file size 83 is given. Thumbnail images 84 of the scan file provide a preview capability. A 'Save' button 86 allows the user to specify another destination for the scan file according to the following options:

10

- import into the job management utility 36 – the scan application converts and stores the file as a .PDF file on the drive of the color print server, to be retrieved later by the job management utility 36 resident on the client workstation 32;

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- save to a network location of the user's choice in a file format specified by the user;
- the scanner application will send an email notification of the file's existence with a clickable hyperlink to the file's URL on the drive of the color print server;

20

- the scan file may be faxed to a user-specified destination; and
- the scan file may be exported to the print stream.

While the scanning application gives the scan file a default file name, the user may override this feature and assign a file name of their choice. Instead of  
25 specifying a destination for the scan file, the user may first view the file by clicking the 'View' button 85, whereupon the file is opened and displayed in a user dialog as shown in Figures 9 and 10. Figure 9 shows the interface for displaying a document file and Figure 10 shows the interface for displaying an image file. From the two dialogs 90, 100 the user is able to make a variety of

modifications to the scan file. Parameters to be modified may include one or more of:

- Resolution
- 5     • Scan mode
- Brightness
- Threshold
- Contrast
- Scaling
- 10    • Original image
- Color conversion
- Color profile
- Rotation
- Crop
- 15    • Unsharp mask
- Deskewing
- File format; and
- Compression.

20    After making image modifications, the user may then specify a destination, as previously described. Both dialogs 90 and 100 have controls for saving and specifying a destination 86 and canceling image modifications 91, sending e-mail notification 92 and doing a test print 94. Rather than specifying an alternate destination, the user may elect to hold the file on the color print server at its  
25    original location 93.

While the invention has thus far been described within the context of particular system architecture, other embodiments of the invention employing alternative system architectures are possible. For example, as shown in Figure 4, the

scanning application 35 may reside on the client workstation 32 rather than on the color print server 33. Thus, within this architecture, all user activities are initiated from the client workstation, although they are still executed on the color print server.

5

In another system architecture, instead of a printer/scanner 31 connected to the color print server 30, a third party TWAIN scanner 52 is attached to the client workstation, as shown in Figure 5. A plug-in 53 resident on the client workstation 32 works with the third party scanning software to provide most of the functionality of the original scanning software. As shown in Figure 7, the user may specify a local TWAIN source, rather than a network scan. The TWAIN plug-in 53 and third party scanner 52 allow the color print server to be combined with an attached printer 51 to function as a color copier. As shown in Figure 11, a dialog 110 provides interface elements 111 and 112 to emulate the control panel of a color printer.

10

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According to a further alternate embodiment (not shown), the color printer server is embedded in a color copier, with the interface to the color print server comprising the control panel on the color copier.

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Although the invention is described herein with reference to a variety of preferred embodiments, one skilled in the art will readily appreciate that other applications may be substituted for those set forth herein without departing from the spirit and scope of the present invention. Accordingly, the invention should only be limited by the Claims included below.

25

**CLAIMS**

What is claimed is:

- 5 1. A method of merging document files into a printing workflow comprising the steps of:

providing a plurality of document files, each of said files comprising one or more pages, at least one of said files a scan file;

displaying an image of each page of each of said document files;

- 10 selecting at least one page from each of at least two of said document files; and

combining said selected pages in a desired order, wherein said scanned files are merged with said document files on a page-by-page basis so that said combined pages comprise a new document file.

15

2. The method of Claim 1, wherein said providing step comprises the steps of:

providing said at least one scan file, said scan file comprising any of a scanned document file and a scanned image file, wherein said scan file is a raster data file;

- 20 optionally, converting said scan file to any of a bitmap file and a PDL (page description language) file;

providing at least one additional file, said additional file comprising any of another scan file and a pre-existing print file, said additional file comprising any of a raster data file, a bitmap file and a PDL file.

25

3. The method of Claim 2, wherein the step of providing said scan file comprises the steps of:

providing a scanning device and a color print server;

scanning a document, wherein a user initiates and customizes a scan

- 30 using a first software module; and

storing said scan file.



4. The method of Claim 3, wherein said first software module resides on said print server and includes a user interface, said user interface comprising any of a GUI connected to said server, an LCD panel mounted on said print server and  
5 a control panel on said scanning device.

5. The method of Claim 3, wherein said first software module resides on said print server, said print server being embedded in a color copier, an interface to said first software module comprising a control panel to said color copier.

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6. The method of Claim 3, wherein said first software module resides on a client workstation in communication with said print server, said software module including a GUI.

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7. The method of Claim 3, wherein said scan file is stored on a mass storage device connected to said print server.

8. The method of Claim 3, wherein said scan file is stored on a mass storage device connected to said workstation.

20

9. the method of Claim 3, wherein said scan file is stored to a network location specified by said user.

10. The method of Claim 3, wherein said scanner is equipped with an automatic document feeder (ADF).

25

11. The method of Claim 3, wherein said scanner comprises any of the platen of a copying machine and a dedicated scanner.

12. The method of Claim 3, wherein said scanner is connected to said print server.

30

13. The method of Claim 3, wherein said scanner is connected to said workstation.

5 14. The method of Claim 3, wherein said document scanning step comprises the steps of:

specifying a scanning device;

specifying one of image scan and document scan;

10 specifying video-based image modifications to be made during said scan, said modifications including compression and color space transformations.

specifying scan mode;

specifying resolution;

specifying any of single sided and duplex;

15 specifying page orientation;

specifying a destination for said scan file; and

executing a scan document command.

20 15. The method of Claim 14, wherein said destination specifying step comprises at least one of:

specifying a file name for said scan file;

specifying a PDL format for said scan file;

specifying a network location for said scan file;

specifying an address for email notification of said scan file's location;

25 and

specifying a fax destination for said scan file.

16. The method of Claim 3, wherein the step of providing said scan file further comprises the step of retrieving said stored scan file from a mailbox, said scan  
30 file being temporarily stored in said mailbox.

17. The method of Claim 16, further comprising the step of modifying said scan file, said modifications including:

5       scan mode;  
      brightness;  
      threshold;  
      contrast;  
      scaling;  
      specifying source type;  
      color conversion;  
10       rotate;  
      crop;  
      unsharp mask;  
      deskewing;  
      file format; and  
15       compression format.

18. The method of Claim 3, wherein said images comprise thumbnail images of said pages, and wherein said selection step comprises selecting thumbnail images corresponding to desired pages using a pointing device, wherein said  
20       thumbnail images are displayed by one of said first software module and a second software module.

19. The method of Claim 18, wherein said pointing device comprises a mouse.

20. The method of Claim 18, wherein said combining step comprises using said pointing device to drag said selected thumbnail images so that they are placed in a desired order, and wherein said corresponding pages are assembled in the same order.

21. The method of Claim 20, further comprising the step of:

saving merging instructions generated by said combining step as a merge template, wherein said merge template is usable at a future time with another set of document files.

5 22. The method of Claim 1, wherein said combining step comprises selecting and applying a previously created merge template, said merge template comprising instructions for combining said selected pages in a specified order.

10 23. The method of Claim 22, said merge template further comprising at least one of:

instructions for imposing multiple pages on a single sheet;  
instructions for resizing pages;  
specified color choices;  
specified layout options; and  
15 specified print options.

24. The method of Claim 1, wherein said combining step comprises the steps of:

20 providing scripted merging instructions; and  
applying said scripted merging instructions;  
wherein said merging instructions comprise instructions for combining pages in a specified order and at least one of:

25 instructions for imposing multiple pages on a single sheet;  
instructions for resizing pages;  
specified color choices;  
specified layout options; and  
specified print options.

30 25. The method of Claim 1, wherein said combining step comprises the steps of:

creating merging instructions using a workflow application; and

applying said merging instructions;

wherein said merging instructions comprise instructions for combining pages in a specified order and at least one of:

instructions for imposing multiple pages on a single sheet;

5 instructions for resizing pages;

specified color choices;

specified layout options; and

specified print options.

10 26. The method of Claim 3, wherein said page description language comprises any of POSTSCRIPT (PS), Portable Document Format (PDF) and Page Construction Language (PCL).

27. A system for merging scan files into a printing workflow comprising;

15 a print server;

a client workstation in communication with said print server;

a scanning device, said scanning device connected to one of said workstation and said print server;

20 means for initiating and customizing scans, said scans performed by said scanning device; and

means for merging said scan files with document files on a page-by page basis so that a single, merged document file is produced.

25 28. The system of Claim 27, wherein said means for initiating and customizing scans comprises a first software module; and said means for merging said scan files with said document files comprises a second software module.

30 29. The system of Claim 28, wherein said scan files and said document files include one or more pages, and wherein said second software module displays images of said pages, so that a user may select at least one page from at least one scan file and at least one page from at least one document file and

combine said selected pages in a desired order, said combined pages comprising a new document file.

30. The system of Claim 29, wherein said scan file is a raster data file, said  
5 scan file comprising any of a scanned document file and a scanned image file  
and wherein said raster data file is convertible to any of a bitmap file and a PDL  
file; and

wherein at least one additional file is provided, said additional file  
comprising any of another scan file and a pre-existing print file, said additional  
10 file further comprising any of a raster data file, a bitmap file and a PDL file.

31. The system of Claim 30, wherein said first software module resides on said  
print server, and includes a user interface, said user interface comprising any of:  
a GUI (Graphical User Interface) connected to said print server, an LCD panel  
15 connected to said print server and a control panel on said scanning device.

32. The system of Claim 30, wherein said first software module resides on said  
client workstation, said second software module including a GUI.

33. The system of Claim 30, wherein a user initiates a scan from said first  
20 software module and stores the resulting scan file.

34. The system of Claim 33, wherein said scan file is stored on a mass storage  
device connected to said print server.

25

35. The system of Claim 33, wherein said scan file is stored on a mass storage  
device connected to said workstation.

36. The system of Claim 33, wherein said scan file is stored at a network  
30 location specified by said user.

37. The system of Claim 30, wherein said scanning device includes an automatic document feeder.

38. The system of Claim 30, wherein said scanning device comprises any of  
5 the platen of a copying machine and a dedicated scanner.

39. The system of Claim 30, wherein said scanning device is connected to said print server

10 40. The system of Claim 30, wherein said scanning device is connected to said workstation.

41. The system of Claim 30, said means for initiating and customizing scans further comprising means for:

15 specifying a scanning device;  
specifying one of image scan and document scan;  
specifying video-based image modifications to be made during said scan, said modifications including file compression format and color space transformations;  
20 specifying scan mode;  
specifying resolution;  
specifying one of single-sided and duplex;  
specifying page orientation;  
specifying a destination for said scan file; and  
25 executing a scan document command.

42. The system of Claim 41, wherein said means for specifying a file destination further comprises at least one of:

means for specifying a file name for said scan file;  
30 means for specifying a mailbox for said scan file, said mailbox comprising a designation for a storage location of said scan file;

means for specifying a PDL format for said scan file;  
means for specifying a network location for said file;  
means for specifying an address for email notification of said scan file's  
location; and

5 means for specifying a fax destination for said file.

43. The system of Claim 30, said means for initiating and customizing a scan  
file further comprising means for retrieving and modifying said scan file, said  
modifications including:

10 scan mode;  
brightness;  
threshold;  
contrast;  
scaling;  
15 specifying source type;  
color conversion;  
rotate;  
crop;  
unsharp mask;  
20 deskewing;  
file format; and  
compression format.

44. The system of Claim 30, further comprising a pointing device, said images  
25 comprising thumbnail images of said pages, wherein said user selects  
thumbnail images corresponding to desired pages using said pointing device.

45. The system of Claim 44, wherein said pointing device comprises a mouse.

30 46. The system of Claim 44, wherein said user combines said pages by using  
said pointing device to drag said selected thumbnail images so that they are



placed in a desired order, and wherein said corresponding pages are assembled in the same order.

47. The system of Claim 46, further comprising a merge template, said merge  
5 template comprising merging instructions generated and saved during said  
combining of pages.

48. The system of Claim 30, further comprising at least one previously saved  
merge template, wherein said merge template is selected, and includes  
10 instructions for combining said selected pages in a specified order.

49. The system of Claim 48, said merge template further comprising at least  
one of:

15 instructions for imposing multiple pages on a single sheet;  
instructions for resizing pages;  
specified color choices;  
specified layout options; and  
specified print options.

20 50. The system of Claim 30, wherein said page description language  
comprises any of POSTSCRIPT (PS), Portable Document format (PDF) and  
Page Construction Language (PCL).

51. A system for copying documents, said system being functionally equivalent  
25 to a copying machine, comprising:

a print server;  
a print engine connected to said print server;  
a client workstation in communication with said print server;  
a scanner attached to said client workstation; and  
30 a software module, said software module permitting said print engine  
and said scanner to function as a copying machine.

52. The system of Claim 51, wherein said software module comprises a user interface, said user interface resembling the controls of a color copier, so that a user is able to specify parameters for a copying job in the same manner as they would on a dedicated copying machine.

10

## METHOD AND SYSTEM FOR MERGING SCAN FILES INTO A COLOR WORKFLOW

5

### ABSTRACT

10 A method and system for scanning documents in a network environment and  
merging the resulting scan files with other documents into a printing workflow  
provides a user interface in which the user merges a scan file and a document  
file on a page basis by selecting thumbnail images of the desired pages and  
dragging and dropping the thumbnails so that a new merged document results.  
An alternative embodiment allows the user to apply predefined merge  
15 templates to the selected pages. The predefined merge templates may include  
printing and formatting instructions such as color settings and a page layout.

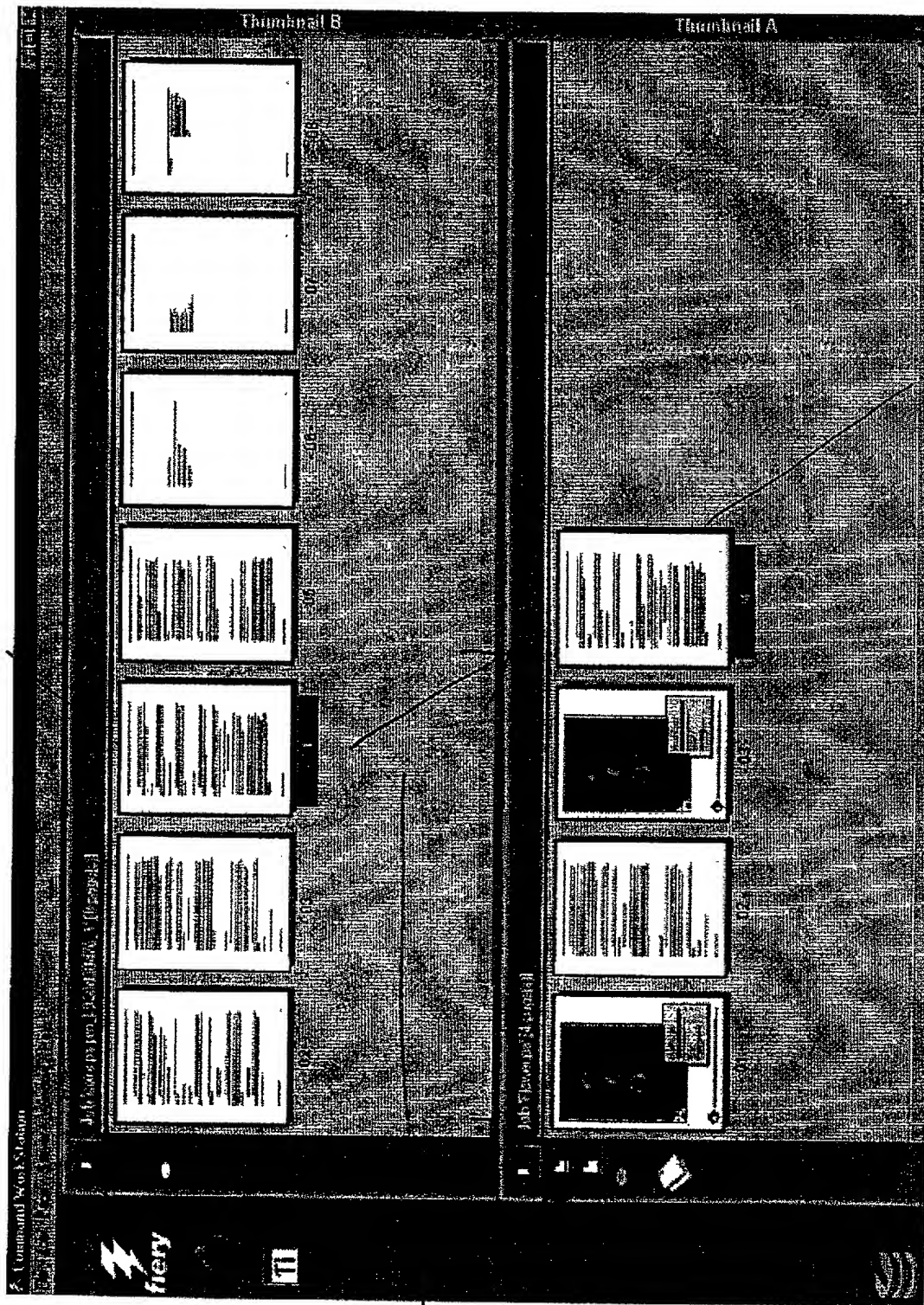
20 The system architecture provides a color print server and a client workstation in  
communication with the color print server. A scanning device, which may be a  
dedicated scanner or the platen of a copying machine is connected to the color  
print server or the client workstation. The scanning software, resident on either  
the color print server or the workstation allows the user to customize the scan  
and apply modifications to the image after scanning. Scanned images may be  
retrieved by email or over the World Wide Web. The color print server and the  
25 attached scanning device may also function as a color copier.



004760\*68479960

2/2

20



22

21B

Figure 2

00449.09490  
00460.60490

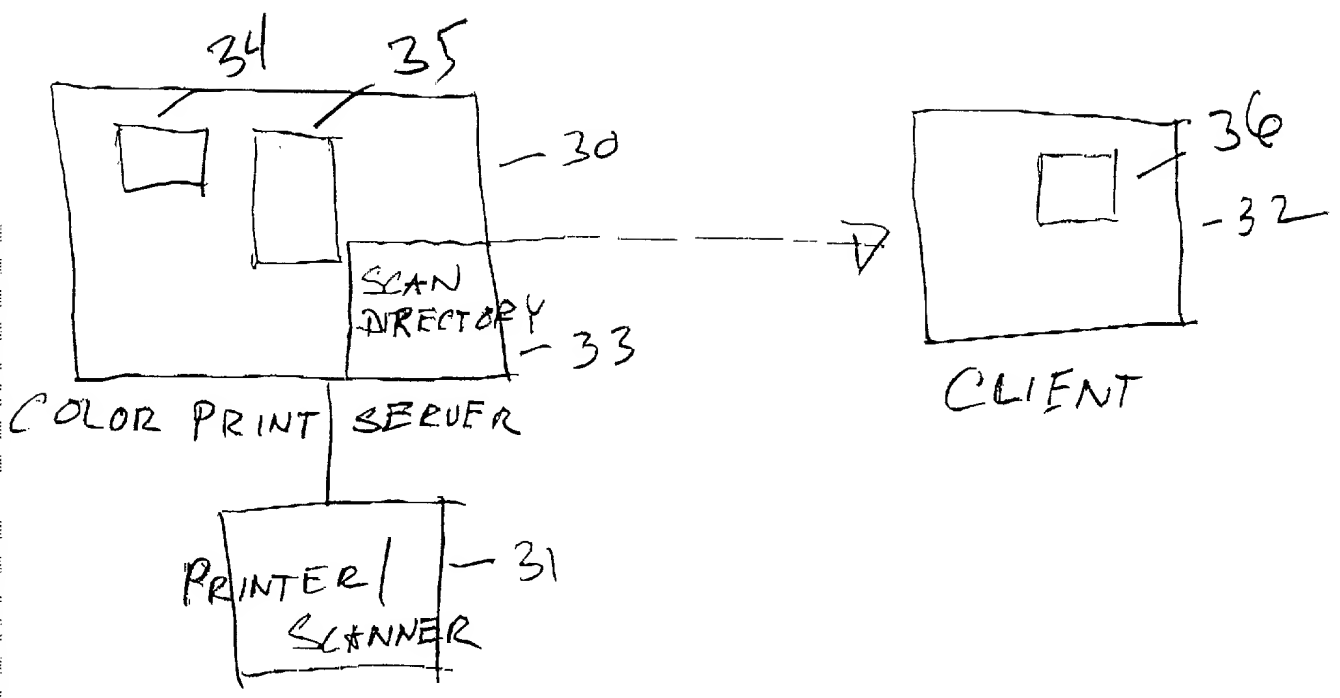


Figure 3

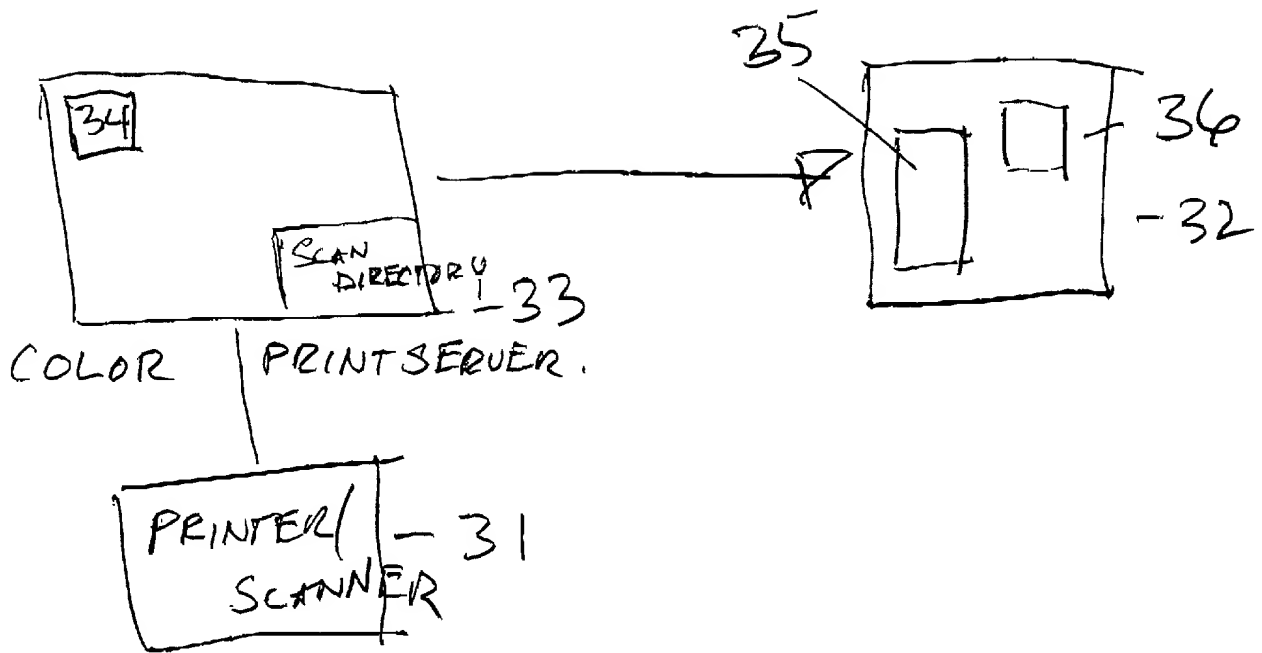


Figure 4

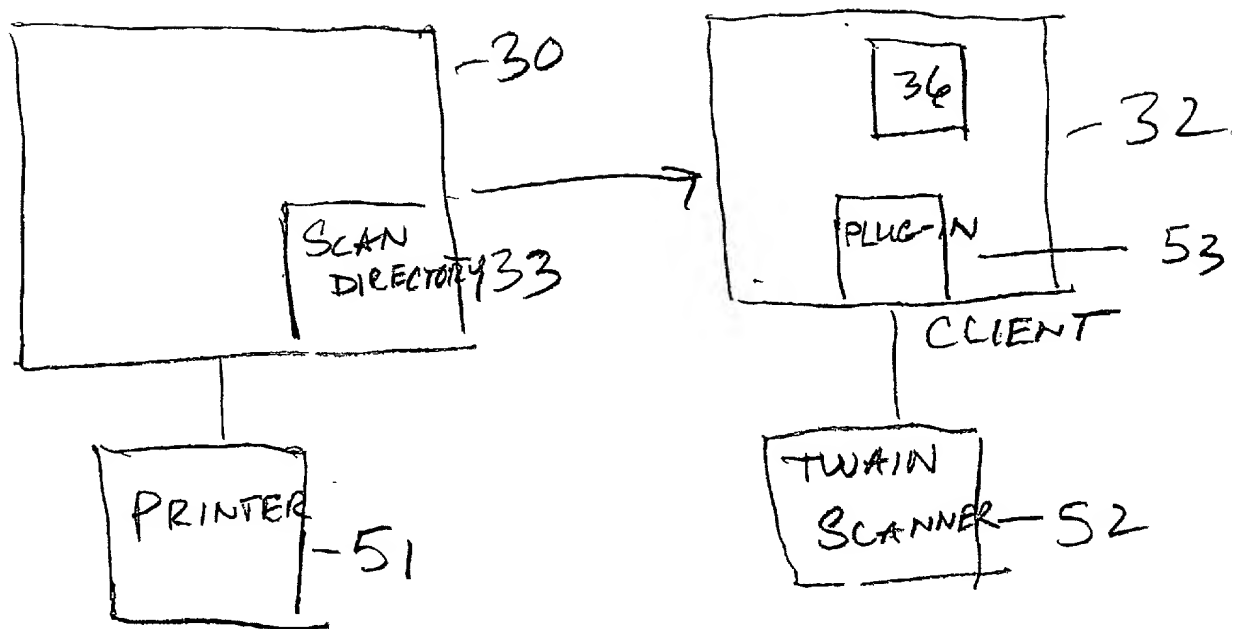


Figure 5



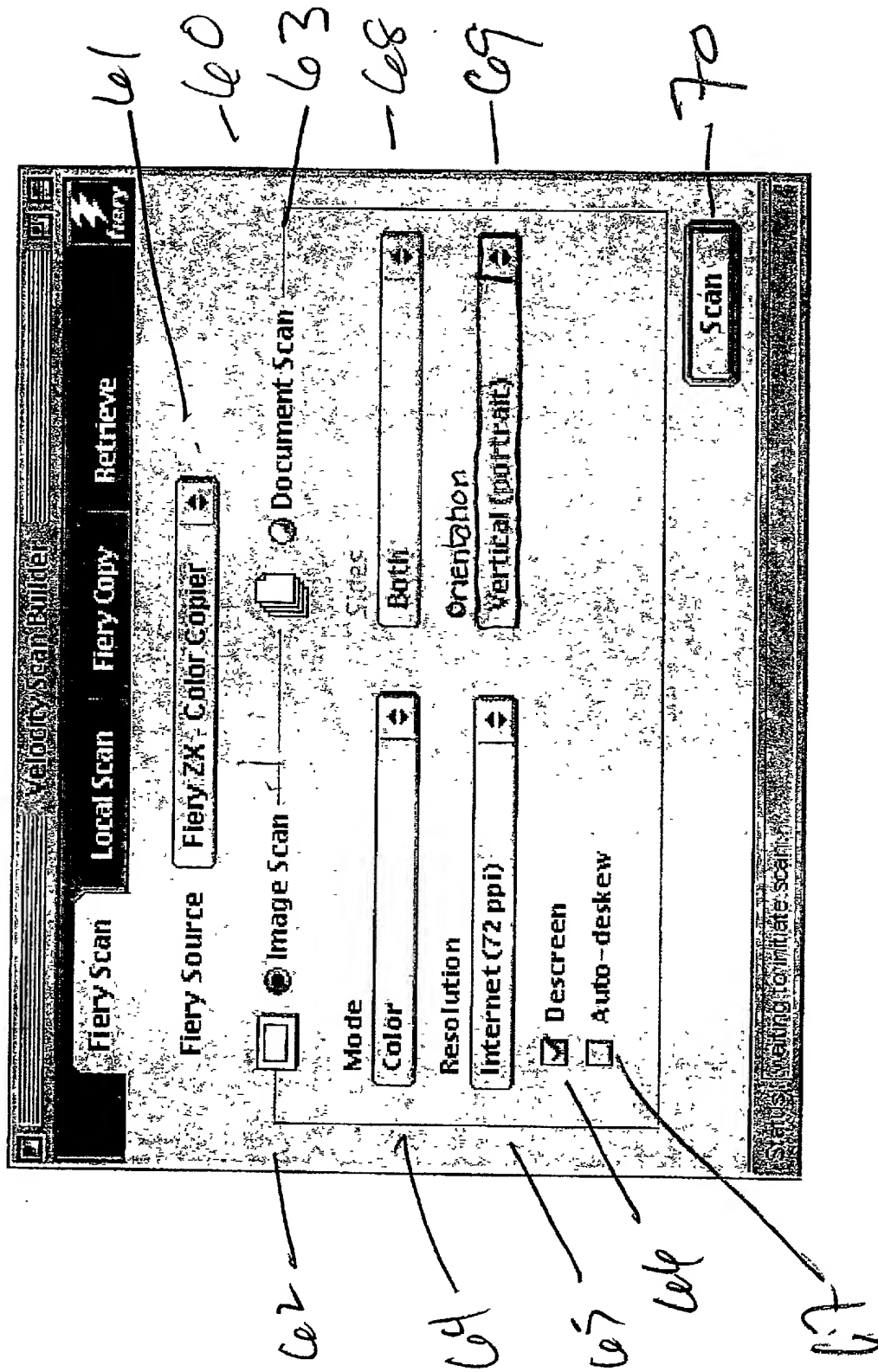


Figure 6

Velocity Scan Builder

Fiery Scan Local Scan Fiery Copy Retrieve

TWAIN Source Panasonic 1425B

☐ Image Scan ☒ Document Scan

Mode Color

Sides Both

Resolution Internet (72 ppi)

Orientation Vertical (portrait)

☒ Descreen ☐ Auto-deskew

PreScan Scan

Getting to initiate scan

70 68 69

Figure 7

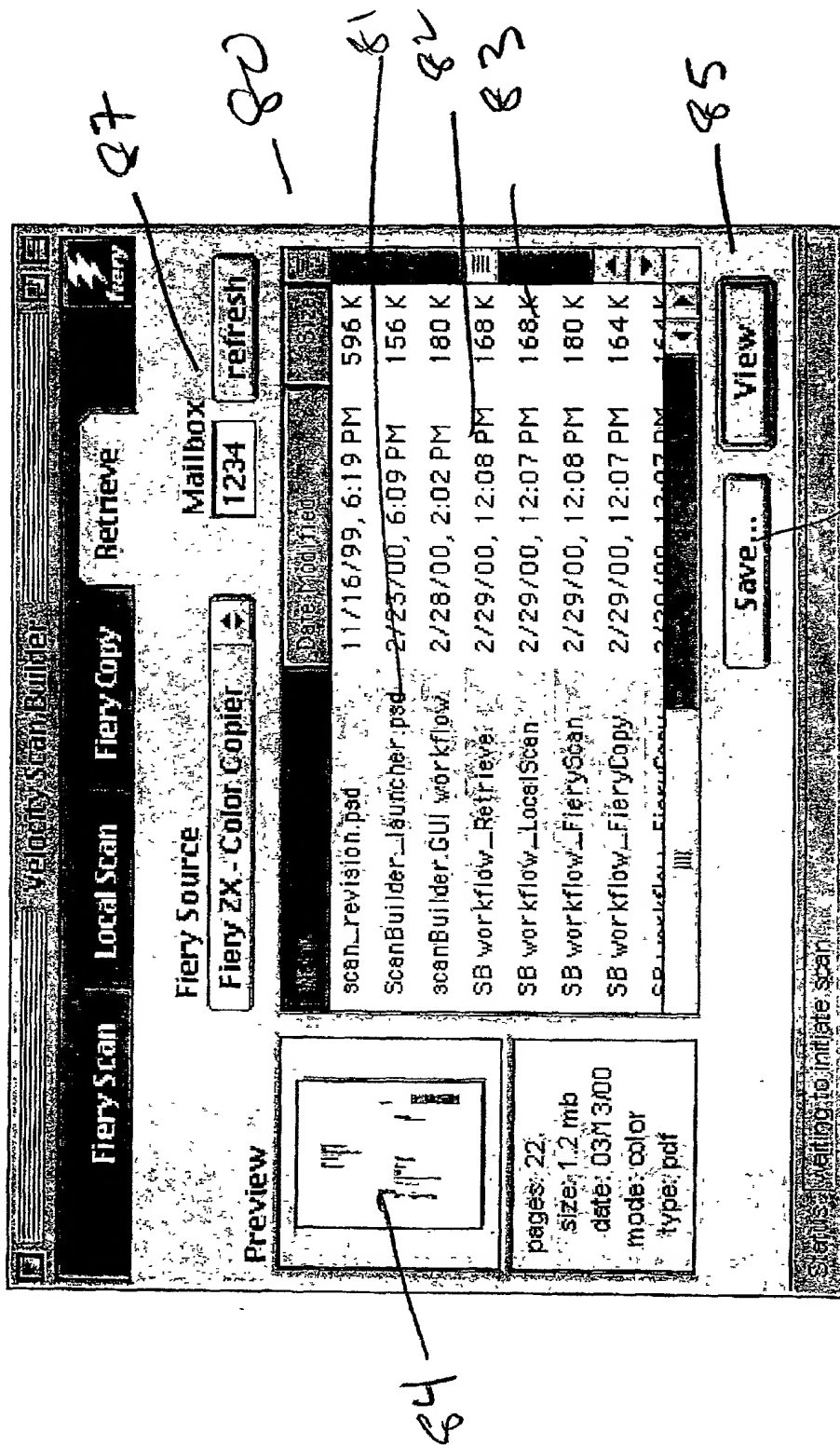
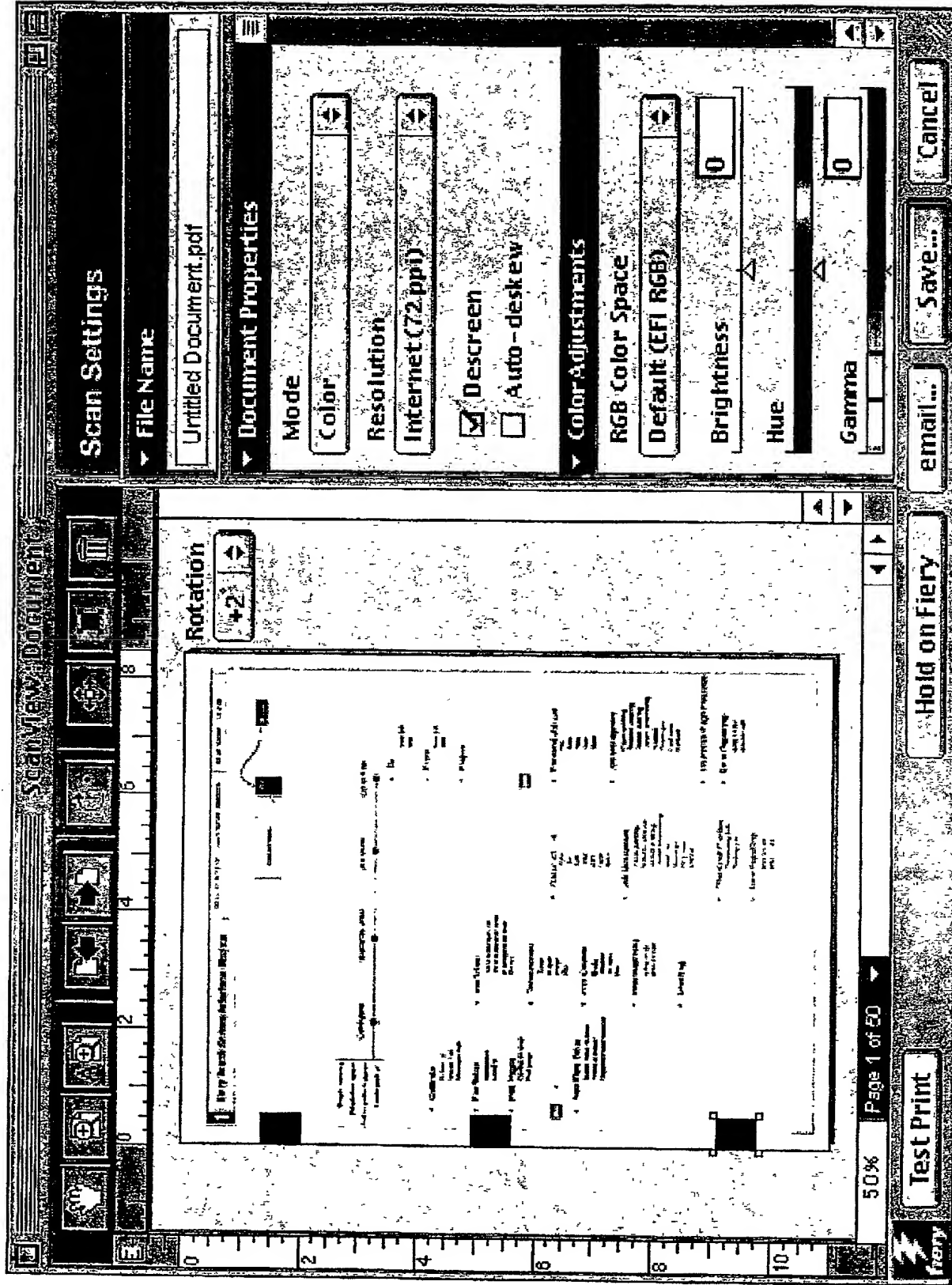
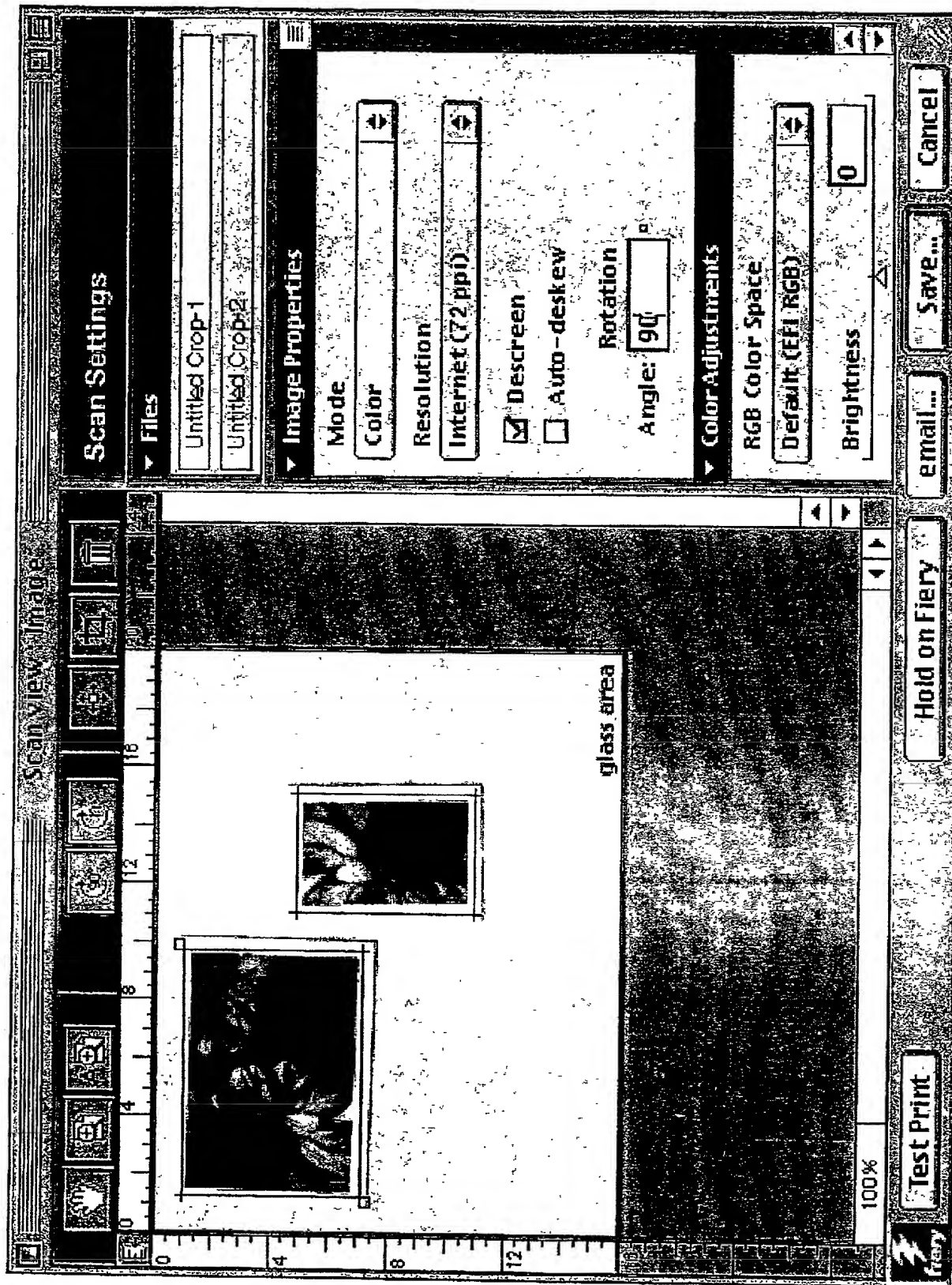


Figure 8



90

93 Figure 9  
92  
986  
91



low Figure 10 low 91

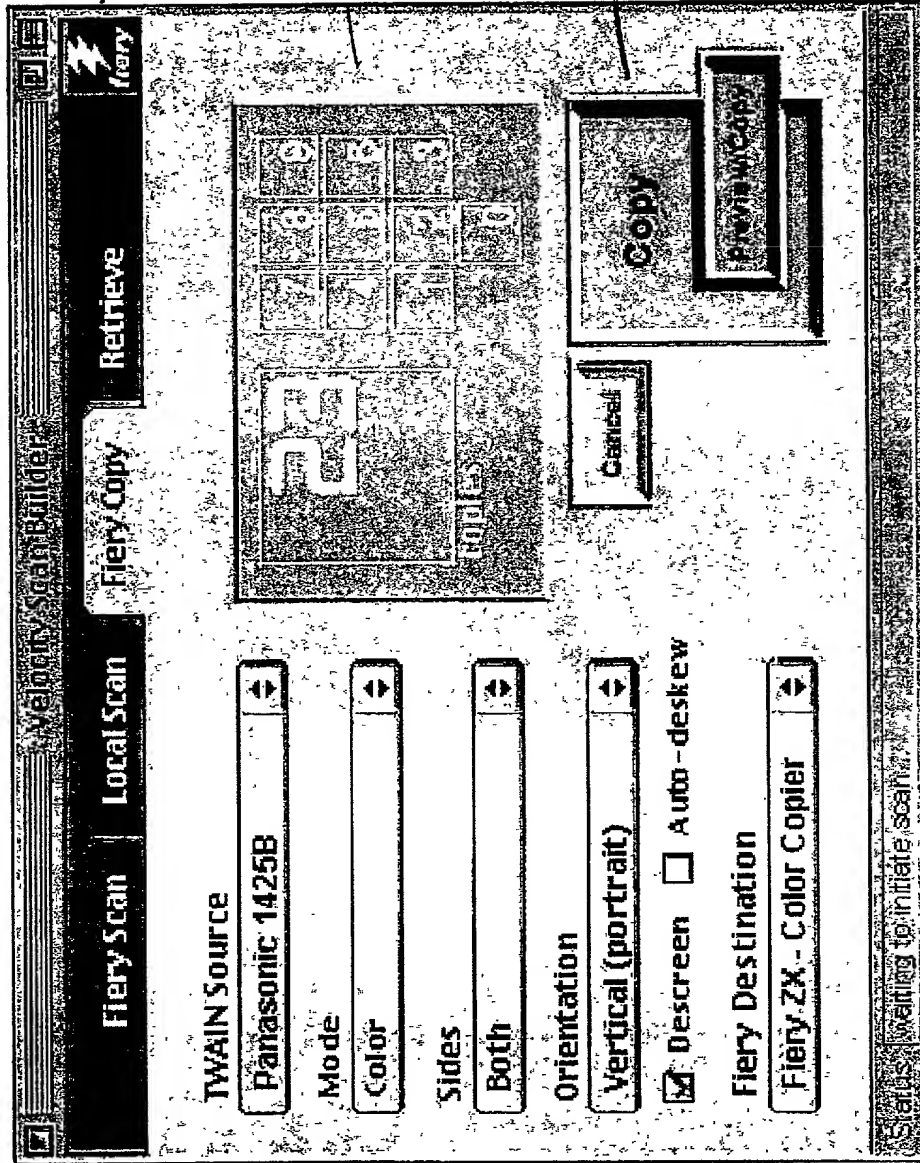


Figure 11

ScanBuilder screens				
Selected option is the default				
Print Pages				
Scan Job	new selection			
Suspend Printing				
Resume Printing				
Shutdown				
Clear Server				
Run Setup				
Run Diagnostics				
Calibration				
Functions				
Start Scan	Document Source	Original Size	Orientation	Sides
Source	ADF	Letter	Portrait	Single
Image Options				
Destination	OK	OK	OK	OK
Clear All Scan Jobs	Source	Source	Source	Source
Scan Options				
	Image Type	Compression	Resolution	
	Text	On	(max engine res.)	
	OK	OK	OK	
	Image Options	Image Options	Image Options	
	File Name	Destination	File Format	Mailbox
	user defined	DocBuilder	destination, image type dependent	user defined
	OK	OK	OK	OK
	Destination	Destination	Destination	Destination
	Clear Each Scan Job			
	24 hrs after scan			
	OK			
	Save Template			

Figure 12

As a below named inventor, we hereby declare that:

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

the specification of which (check one) ☒ is attached hereto, or ☐ was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

**Prior Foreign Application(s)**

Number	Country	Day/Month/Year Filed
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Number	Country	Day/Month/Year Filed
--------	---------	----------------------

MICHAEL A. GLENN, Reg. No. 30,176  
DONALD M. HENDRICKS, Reg. No. 40,355  
JAMES L. ETHERIDGE, Reg. No. 37,614  
EARLE W. JENNINGS, Reg. No. 44,804  
CHRISTOPHER PEIL, Reg. No. 45,005

**MICHAEL A. GLENN, 3475 Edison Way, Ste. L, Menlo Park, CA 94028**



I hereby claim the benefit under Title 35, United States code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Ser. No. \_\_\_\_\_ Filing Date \_\_\_\_\_ Status: Patented, Pending, Abandoned \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: MARGARET MOTAMED

Inventor's signature \_\_\_\_\_

Date

9/13/2000

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